

Request or Validate Third-Party Transmissions

Overview

Review how to request or validate third-party firms for data transmissions. If entitled, use Integration Xchange to review select third-party firms with integration options.

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Review Third-Party Firms

Integration Xchange includes the FinTech Marketplace with select third-party firms and their integration or data transmission options. Use this platform to review validated firms and documentation required for a transmission. For access, request the "Integration Xchange_OutboundTransmissionsRulesManagement" entitlement.

To view your firm's current integrations:

In Fidelity Integration Xchange, select **My Firm's Integrations** > **Outbound Transmission Rules**.

To view select third-party firms:

1. In Wealhscape, select **Menu** > **Resources** > **Fidelity Integration Xchange**.
2. On the top menu, select **FinTech Marketplace** > Explore Fintech Solutions.

NOTE

For unlisted firms, contact transmissioninstalls@fmr.com to identify if the firm is eligible.

To view data transmission options:

1. In Fidelity Integration Xchange, select **Solutions** > **Outbound Transmissions**.
2. To review information about outbound transmissions including forms and reference material, select **Show More**.

Submit the Transmission Request

After you review the vendor and accounts to transmit data for, use the self-service tools to add or delete Outbound Transmission Rules. To request this capability, contact your admin for these entitlements:

- "Integration Xchange_OutboundTransmissionsRulesManagement"
- "Integration Xchange Admin Outbound Transmission Rules Management" entitlements

Self-Service

Add or delete specific Outbound Transmission Rules to meet your vendor and account integration needs.

To add an Outbound Transmission Rule:

1. In Fidelity Integration Xchange, select **My Firm's Integrations** > **Outbound Transmission Rules**.
2. Select **Add Rule**.

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Outbound Transmission Rules Management

Your firm's Outbound Transmission Rules are used to determine the set of accounts to include in each transmission file sent to your firm or to third-party Fintechs on your firm's behalf.

To start sending additional data to an authorized Fintech you may select "Add Rule" at the bottom of this page. To stop sending data you may select the radio button next to the appropriate rule and select "Delete Rule". Refer to the [Help](#) section for more information.

To make rule changes requiring Fidelity's assistance, initiate a WealthscapeSM Service Request through Service Center and attach the below form.

[Fidelity Transmission Form - Outbound Transmission Request - Custody.pdf](#)

Group By: Filter By:

Expand/Collapse All Displaying 3945 result(s) As of 06-May-2022 09:39:58AM ET

Entity Name	Header	# of Accounts*	G Number
▼ ENTITY VENDOR			

3. Select the Entity Name, then enter the appropriate details.

If the Entity Name requires a header, enter the details in the textbox. The vendor provides the header.

If rules already exist for this Entity, select the header value from the dropdown or select **+ Add Header** to enter a valid header value.

Add New Outbound Transmission Rule

Vendor Information > Data Masking > Confirmation

Rules for Custody Firm

To add a rule, select Entity Name below, specify the data header (if applicable) and provide the associated account selection criteria. These details will be used to identify the accounts to be included in the files sent to the Entity / Fintech indicated. * Required fields

Entity Name *

Header * or

G Number *

Product Class

Platform Source

981439.1.0

4. Select **Next** to choose data masking criteria, then select **Next**.

Request or Validate Third-Party Transmissions

Add New Outbound Transmission Rule

Vendor Information > Data Masking > Confirmation

Data Masking

For the account selection criteria above, designate the Personal Identifying Information (PII) related fields to be masked by setting the associated field masking indicator to "Yes".

Note: Fintechs may require certain data. Consult with the Fintech before requesting that the data be masked. In the event data is compromised, masking PII can help avoid data breaches under applicable law, or harm resulting from data breaches, and is encouraged wherever the data is not required by the Fintech.

SSN/TIN	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
First Name	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Middle Name	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Last Name	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Address	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
ZIP Code	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Phone Number	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Email	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Date of Birth	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Credit Card Number	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Credit Card Expiration	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

981439.1.0

[Cancel](#) [Previous](#) [Next](#)

5. Review the transmission rule details, enter your full name, and select **Confirm**.

Add New Outbound Transmission Rule

Vendor Information > Data Masking > Confirmation

Review and confirm

This action will cause the selected accounts to be sent to the designated Fintech. Changes made after 7:30 PM EST will take affect the next business day.

DETAILS OF INSTRUCTIONS

Entity Name: ENTITY VENDOR

Rule Criteria

Header:	ARCHIE	G Number:	G08070032
G Number Description:	GNUMBER TEST EDIT 3	Platform Source:	ASW
Product Class:	MF	Data Masking:	0 Field(s)

By entering your name and clicking the 'Confirm' button below, you:

- Understand and acknowledge that by submitting this request, you are agreeing to be bound by the obligations and restrictions in your firm's agreements with Fidelity related to the Client and Third Party data and use of data transmissions.
- Represent that the instructions provided on this form are true, complete and accurate.
- Authorize Fidelity to act in accordance with the instruction provided on this.

Fidelity Investments does not supervise your management of data transmissions. It is your sole responsibility to review your instruction and ensure that it is accurate and appropriate. Fidelity shall not be liable to you or anyone else for any losses caused by instructions or any mistakes made by you in managing data transmissions.

I affirm that I am an authorized person of the firm and that I have the proper authority to make the change on behalf of the firm and the accounts at issue.

Full Name *

984789.1.0

[Cancel](#) [Previous](#) [Confirm](#)

To delete an Outbound Transmission Rule:

1. In Fidelity Integration Xchange, select **My Firm's Integrations > Outbound Transmission Rules**.

Request or Validate Third-Party Transmissions

- Expand the Entity Name to review all the available rules or select Expand/Collapse All to review all rules. Select the Outbound Transmission Rule to delete, then select **Delete Rule**.

Outbound Transmission Rules Management

Your firm's Outbound Transmission Rules are used to determine the set of accounts to include in each transmission file sent to your firm or to third-party Fintechs on your firm's behalf.

To start sending additional data to an authorized Fintech you may select "Add Rule" at the bottom of this page. To stop sending data you may select the radio button next to the appropriate rule and select "Delete Rule". Refer to the [Help](#) section for more information.

To make rule changes requiring Fidelity's assistance, initiate a WealthscapeSM Service Request through Service Center and attach the below form.

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Group By: Filter By:

Displaying 3945 result(s) As of 06-May-2022 09:39:58AM ET

	Entity Name	Header	# of Accounts*	G Number
▼ ENTITY VENDOR				
<input type="radio"/>	ENTITY VENDOR	TUN	103	G08070032
<input type="radio"/>	ENTITY VENDOR	PILT	13	G09135404
<input type="radio"/>	ENTITY VENDOR	MNT	73	G10467829
<input type="radio"/>	ENTITY VENDOR	ROPD	22	G10467829
<input type="radio"/>	ENTITY VENDOR	BTEW	90	G10467829
<input type="radio"/>	ENTITY VENDOR	CSMERDTRPD	24	G10467829
<input type="radio"/>	ENTITY VENDOR	ACRPD	1011	G10891667
<input type="radio"/>	ENTITY VENDOR	GDTM	85	G10891667

- Review the confirmation, enter your full name, then select **Confirm**. The deleted rule no longer appears in the list.

Delete Outbound Transmission Rule

Warning: You are about to delete this Outbound Transmission Rule. Fidelity Outbound Transmissions does not have historical data capabilities. Data lost based on the removal of rules cannot be created for prior dates.

This action will cause the selected accounts to no longer be sent to the designated Fintech and will terminate the service(s) provided by the Fintech. Changes made after 7:30 PM EST will take effect the next business day.

DETAILS OF INSTRUCTIONS

Entity Name ENTITY VENDOR

Rule Criteria

Header		Platform Source	--
Number of Accounts*	103	Product Class	--
G Number	G09135404	Data Masking	0 Field(s)
G Number Description	ENTITY G NUMBER		

By clicking the 'Confirm' button below, you:

- Understand and acknowledge that by submitting this request, you are agreeing to be bound by the obligations and restrictions in your firm's agreements with Fidelity related to the Client and Third Party data and use of data transmissions.
- Represent that you are an authorized person of the firm and that you have the proper authority to make the change on behalf of the firm and the accounts at issue.
- Represent that the instructions provided are true, complete, and accurate.
- Authorize Fidelity to act in accordance with the instruction provided on this.

Fidelity Investments does not supervise your management of data transmissions. It is your sole responsibility to review your instruction and ensure that it is accurate and appropriate. Fidelity shall not be liable to you or anyone else for any losses caused by instructions or any mistakes made by you in managing data transmissions.

* Represents the number of accounts associated with a given rule as of the last brokerage cycle. Hyphen ("--") indicates that the rule is new since the last brokerage cycle.

I affirm that I am an authorized person of the firm and that I have the proper authority to make the change on behalf of the firm and the accounts at issue.

Full Name *

973231.2.0

Request or Validate Third-Party Transmissions

Service Request

If you require assistance to make rule changes, submit a Service Request. Select the vendor and accounts to transmit data for and complete the Outbound Transmission Request Form to submit via Service Request.

NOTE

For transmission request edits, repeat these steps and attach the Outbound Transmission Request Form with the changes.

To submit a transmission request:

1. In Wealthscape, select **Menu > Service > Initiate Service Request**.
2. Enter your firm's Proprietary account number.
To locate this number, contact your Client Manager.
3. On Service Request Menu, select **Product Support > Platform Integration > Third Party Transmission Request**.
4. Complete the required information for the request:
 - Select **Add, Delete, or Inquiry** (about a third party).
 - Enter appropriate details in Additional Details.
5. Select **Add Attachment** and upload the Outbound Transmission Request Form.

Frequently Asked Questions

Review the most common questions about the transmission process. For further questions, contact your Client Manager.

Question	Response
Who do I contact to submit the form or ask general deployment or support questions?	Send an email to transmissioninstalls@fmr.com .
How do I edit a submitted Third-Party Transmission request?	On the Outbound Transmission Request form, use Section 3 to make edits and submit a Service Request with the form attached.

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